

17691 U.S. PTO
07/24/03



22045

PATENT & TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL

Address to: Mail Stop PATENT APPLICATION Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket No.	UOM 0210 PUSP 1
	Inventor(s) or Appln. Identifier	Wan-Thai Hsu, John R. Clark, Clark T.-C. Nguyen

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10/625992
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1. This application entitled METHOD FOR MAKING MICROMECHANICAL STRUCTURES HAVING AT LEAST ONE LATERAL SMALL GAP THEREBETWEEN AND MICROMECHANICAL DEVICE PRODUCED THEREBY is:
- a. ☐ A new application under 37 C.F.R. § 1.53(b).
- b. ☒ A ☒ continuation ☐ divisional or ☐ continuation-in-part application under 37 C.F.R. § 1.53(b) of prior application Serial No. 09/938,411 filed on August 23, 2001, entitled METHOD FOR MAKING MICROMECHANICAL STRUCTURES HAVING AT LEAST ONE LATERAL SMALL GAP THEREBETWEEN AND MICROMECHANICAL DEVICE PRODUCED THEREBY.
- c. ☐ A new utility application which claims the benefit of provisional application Serial No. , filed on .

Application elements and other attached papers:

2. ☒ Specification (including Claims and Abstract) [Total Pages 14]
3. ☒ Drawings (☐ informal / ☒ formal) [Total Sheets 2]
4. ☒ Oath or Declaration
- a. ☐ Newly-executed
- b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
5. ☒ Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
- a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

CERTIFICATION UNDER 37 C.F.R. § 1.10	
I hereby certify that this UTILITY PATENT APPLICATION TRANSMITTAL and the documents referred to as attached therein are being deposited on the below date with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" addressed to: Mail Stop Patent Application, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
Express Mail Label No. <u>EV 250742831 US</u>	<u>Judy Noble</u> (Type or print name of person mailing paper)
Date of Deposit: <u>July 24, 2003</u>	<u>Judy Noble</u> (Signature of person mailing paper)

7. Preliminary Amendment:

- a. ☐ A Preliminary Amendment Under 37 C.F.R. § 1.115 is attached.
- b. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee.
- c. ☒ Please amend the specification by inserting before the first line the following sentence:
 "This is a ☒ continuation _____ divisional of copending
 application(s) serial number 09/938,411 filed on August 23, 2001."
- d. ☐ A Petition to Suspend Prosecution For The Time Necessary to File An Amendment (New Application Filed Concurrently) is attached.

8. Small entity status:

- a. ☐ Applicant claims small entity status.
- b. ☒ Small entity status was claimed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer desired.

9. Fee Calculation:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS (37 C.F.R. § 1.16(c))	26 -20 =	6	x 18.00	108.00
INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	2 -3 =	0	x 84.00	0
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. § 1.16(d))			280.00	
BASIC FEE (37 C.F.R. § 1.16(a))				750.00
TOTAL OF ABOVE CALCULATIONS				858.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. §§ 1.9, 1.27, 1.28)				429.00
\$40.00 ASSIGNMENT RECORDAL FEE (if applicable)				
TOTAL				429.00

10. ☒ A check in the amount of \$429.00 is enclosed.
11. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees (or any deficiency therein) to Deposit Account No. 02-3978 :
- a. ☒ Fees required under 37 C.F.R. § 1.16.
- b. ☒ Fees required under 37 C.F.R. § 1.17.
12. Maintenance of Copendency of Prior Application
- ☐ A request for extension of time and the appropriate fee have been filed in the pending prior application (or are being filed in the prior application concurrently herewith) to extend the period for response until _____.

13. ☒ An Information Disclosure Statement (IDS) is attached, along with the following indicated attachments thereto:
- a. ☐ Form PTO/SB/08A (☐ sheet(s))
- b. ☐ Copies of references cited
14. ☐ Certified copy of priority document(s)
15. ☐ Nonpublication Request Under 37 C.F.R. § 1.213(a)
16. ☐ Request for Early Publication Under 37 C.F.R. § 1.219
17. ☒ Return Receipt Postcard
18. ☐ Other: _____
19. ☒ An Assignment of the invention to The Regents of The University of Michigan
having an address of 3003 S. State Street, Ann Arbor, Michigan 48109
- a. ☐ is attached.
- b. ☒ was recorded on January 15, 2002 at Reel 012481, Frame 0488.
- c. ☐ Applicant requests that the above assignee information be published with the application.
20. The power of attorney in the prior application is to:

Name of Attorney of Record Reg. No.

☒ The power appears in the original papers in the prior application.

☐ The power does not appear in the original papers, but was filed on _____.

☐ A new power has been executed and is attached.

21. Correspondence Address: Please address all future communications to:



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PATENT & TRADEMARK OFFICE

Telephone: 248-358-4400; Fax: 248-358-3351

Respectfully submitted,

Wan-Thai Hsu, et al.

Date July 24, 2003

Thomas W. Saur
Name: Thomas W. Saur
Registration No.: 45,075

☒ Attorney or agent of record
☐ Filed under Rule 34(a)